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12  
13 IN THE UNITED STATES DISTRICT COURT  
14 FOR THE NORTHERN DISTRICT OF CALIFORNIA  
15 OAKLAND DIVISION

16  
17 ALEX ANG and LYNN STREIT,  
individually and on behalf of all others  
18 similarly situated,  
Plaintiffs,

19 v.

20 BIMBO BAKERIES USA, INC.,  
21 Defendant.

Case No. 4:13-CV-1196-HSG

**JOINT MOTION FOR PRELIMINARY  
APPROVAL OF PROPOSED CLASS ACTION  
SETTLEMENT**

Judge: Hon. Haywood S. Gilliam, Jr.  
Action Filed: March 18, 2013

Hearing: February 6, 2020, at 2:00 p.m.

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## INTRODUCTION

1  
2 Plaintiffs Alex Ang and Lynne Streit (collectively, the “Plaintiffs”) and Defendant Bimbo  
3 Bakeries USA, Inc. (“BBUSA”) respectfully submit this joint motion for preliminary approval of  
4 proposed class action settlement (the “Settlement”) of this lawsuit (the “Action”). The terms of the  
5 Settlement are set forth in the Settlement Agreement and Release (hereinafter the “Agreement” or  
6 “Agr.”) attached as Exhibit 1 to the Declaration of Keith M. Fleischman (“Fleischman Decl.”), filed  
7 herewith.

8 The Settlement was reached after a lengthy period of litigation, culminating in the Court’s  
9 decision to certify a Fed. R. Civ. P. 23(b)(2) class and deny certification of a Rule 23(b)(3) class. *See*  
10 August 31, 2018 Order Granting in Part and Denying in Part Plaintiffs’ Motion for Class Certification  
11 (Dkt. No. 186, the “Class Certification Order”). Following the Court’s order, the Parties engaged in  
12 a mediation conducted by the Hon. Philip M. Pro (Ret.), former Chief Judge for the United States  
13 District Court for the District of Nevada, resulting in the Agreement.

14 As described in further detail below, there are no differences between the Settlement class and  
15 the Rule 23(b)(2) class certified under the Class Certification Order. There are also no differences  
16 between the claims to be released under the Agreement and those certified for class treatment. Lastly,  
17 as no Rule 23(b)(3) class has been certified, the only monetary component of the Agreement is a  
18 \$325,000 cash payment (the “Settlement Payment”) that must be used, pending Court approval, to  
19 compensate Class Counsel for their attorneys’ fees and expenses, and to make modest incentive  
20 payments to the Class Representatives for their service in this case.

21 The principal benefit of the Settlement is that it provides injunctive relief in the form of an  
22 agreement by BBUSA to certify changes to the labels or formulations of the products at issue in this  
23 Action.<sup>1</sup> In addition to those label or formulation changes, for a period of two (2) years, BBUSA has  
24 agreed to advise Class Counsel of any changes to the label statements or formulations of the products  
25 at issue to the extent the labeling claims relate to the following: (1) for the “Whole Grain” Class  
26 products, any labeling statement that the product is a “good source of whole grain” or “excellent  
27

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28 <sup>1</sup> A specific list of those products and the labeling changes is set forth at § 4.4 of the Agreement.

1 source of whole grain”; (2) for the “100% Whole Wheat” Class products, any change to the product  
2 formulation to include “soy flour”; and (3) for the “Added Coloring” Class products, any change to  
3 the product formulation to include “color”. *See* Agr. § 4.7. After advisement, Class Counsel will have  
4 fifteen (15) days from the date of notice to inform BBUSA whether they object to the proposed  
5 labeling change. The parties will attempt to resolve any disputes that arise between themselves  
6 amicably and in good faith. As mentioned above, BBUSA also agrees to pay the total sum of \$325,000  
7 in full settlement of the lawsuit to be used for attorneys’ fees, litigation costs, and incentive awards.  
8 As part of the Settlement, the parties will release each other from all claims and potential claims arising  
9 out of the same nucleus of operative fact as the Action.

10 Although Plaintiffs and Class Counsel are confident of a favorable determination on the  
11 merits, and neither admit nor concede any lack of merit of the claims, they have determined that the  
12 Settlement provides significant benefits to the Settlement Class and is in its members’ best interests.  
13 Plaintiffs also believe that the Settlement is appropriate, as they recognize the expense and amount of  
14 time required to continue pursuing this Action through trial, and the uncertainty, risk, and difficulties  
15 of proof inherent in prosecuting such a case. Similarly, to avoid further costs, and as evidenced by  
16 the Agreement, BBUSA has determined that, despite its confidence that it would have prevailed in the  
17 Action, it is desirable to settle the Action. While BBUSA admits no fault or liability, and expressly  
18 denies any fault or liability in connection with the Claims, it has agreed to settle this matter to avoid  
19 the expense, inconvenience, and uncertainty of further litigation. Furthermore, and notwithstanding  
20 its denial of liability, as documented in the Settlement, BBUSA acknowledges that the Action was a  
21 factor that informed its decision to make the labeling changes and reformulations of the products as  
22 described in the Agreement.

23 Accordingly, the Parties jointly move the Court for an order preliminarily approving the  
24 proposed Settlement and scheduling a fairness hearing. A proposed order granting preliminary  
25 approval of the proposed class action settlement is attached to the Fleischman Declaration as Exhibit  
26 2. As described in detail below, the Settlement satisfies all of the criteria for preliminary approval.

**FACTUAL BACKGROUND AND PROCEDURAL HISTORY**

1  
2 Plaintiffs allege that BBUSA is the largest bakery company in the United States. *See* Second  
3 Amended Complaint (Dkt. No. 40, the “SAC”) at ¶1. According to the SAC, BBUSA owns and has  
4 distributed products under the following brands: Arnold, Ball Park, Bimbo, Boboli, Brownberry,  
5 Earthgrains, Entenmann’s, Francisco, Freihofer’s, Marinela, Mrs. Baird’s, Oroweat, Sara Lee,  
6 Strohmann, Thomas’, and Tia Rosa. *Id.*

7 On March 18, 2013, Plaintiffs filed a putative class action against BBUSA in the United States  
8 District Court for the Northern District of California. *See* Dkt. No. 1. On November 4, 2013,  
9 Plaintiffs filed the operative Second Amended Complaint, alleging that BBUSA sold its products with  
10 false, misleading, and deceptive labeling in order to increase sales and profits. SAC at ¶172. Plaintiffs’  
11 SAC sought injunctive relief and statutory damages, alleging violations of California’s Unfair  
12 Competition Law, Cal. Bus. & Prof. Code §§ 17200, *et seq.*, the California False Advertising Law, Cal.  
13 Bus. & Prof. Code §§ 17500, *et seq.*, and the Consumers Legal Remedies Act, Cal. Civil Code §§ 1750,  
14 *et seq.* SAC at ¶¶32-40. BBUSA has denied and continues to deny the allegations stated in the SAC,  
15 and denies all claims of wrongdoing or liability against it in the Action. The parties engaged in  
16 extensive motion practice—which significantly narrowed the scope of the claims alleged by  
17 Plaintiffs—and discovery, which included the exchange of documents, production of expert reports,  
18 and conducting of various depositions.

19 As noted above, on August 31, 2018, the Court issued the Class Certification Order. In that  
20 order, the Court ruled that Plaintiffs satisfied the requirements of Rule 23(a)—numerosity,  
21 commonality, typicality, and adequacy—and the requirements of Rule 23(b)(2), but not those for Rule  
22 23(b)(3). *See* Class Certification Order at 17-18, 28. The order certified four California classes for  
23 injunctive relief under Rule 23(b)(2) but denied class certification for damages under Rule 23(b)(3).  
24 *Id.* at 18, 28. In addition to its certification rulings, the Court appointed named Plaintiffs as the Class  
25 Representatives and appointed Fleischman Law Firm, PLLC (now Fleischman Bonner & Rocco LLP)  
26 and Barrett Law Group, P.A. as co-lead counsel, and Pratt & Associates as local counsel (together,  
27 “Class Counsel”). *Id.* at 28-29.

1 On July 31, 2019, the Parties participated in a full-day mediation overseen by the Hon. Philip  
 2 M. Pro (Ret.), former Chief Judge for the United States District Court for the District of Nevada and  
 3 professional mediator with JAMS with substantial experience in mediating class actions. After arm’s-  
 4 length negotiations supervised by Judge Pro, the parties reached agreement and drafted and executed  
 5 a term sheet. The terms of resolution were thereafter formally and comprehensively documented in  
 6 the Agreement accompanying this joint motion, which the parties now respectfully submit for  
 7 approval to finally to resolve this Action.

8 **THE TERMS OF THE PROPOSED SETTLEMENT AGREEMENT**

9 **A. The Settlement Class**

10 The “Class” or the “Settlement Class Members” means the persons in the following definition:  
 11 All persons or entities who or that made purchases in California of any BBUSA products identified in  
 12 the Class Certification Order. *See* Agr. ¶1.7; Class Certification Order at 1-3.

13 The “Class Period” is defined as the period during which BBUSA is alleged to have mislabeled  
 14 the products identified in the Agreement, ranging from March 18, 2009 to the present. *See* Class  
 15 Certification Order at 2.

16 Pursuant to the Court’s Procedural Guidelines for Class Action Settlements, Plaintiffs state  
 17 that the Settlement Class as defined by the Agreement does not differ from the class certified by the  
 18 Class Certification Order. *See* Agr. ¶1.7.

19 **B. Injunctive Relief**

20 The Agreement provides injunctive relief designed to ensure that the product labeling  
 21 statements and formulations challenged in the SAC have been changed and are no longer used.  
 22 BBUSA has altered, changed or otherwise modified either the labeling or the formulations of the  
 23 products at issue. Specifically, BBUSA certifies in the Agreement that it has made the following  
 24 changes to the labels and/or formulations of the following products:

Product Name	Changes Made
Oroweat Dark Rye Bread	• Color removed
Oroweat Sweet Hawaiian Bread	• Color removed

1		
2	Sara Lee 100% Whole Wheat Bread (Classic 100% Whole Wheat Bread)	<ul style="list-style-type: none"> <li>• Soy flour removed from ingredients list</li> <li>• “Good source of whole grain” claim removed</li> <li>• Brand was divested and is no longer controlled by BBUSA in California</li> </ul>
3		
4	Sara Lee Soft & Smooth Whole Grain White Bread	<ul style="list-style-type: none"> <li>• “Good source of whole grain” claim removed</li> <li>• Brand was divested and is no longer controlled by BBUSA in California</li> </ul>
5		
6	Sara Lee Soft & Smooth 100% Whole Wheat Bread	<ul style="list-style-type: none"> <li>• “Good source of whole grain” claim removed</li> <li>• Brand was divested and is no longer controlled by BBUSA in California</li> </ul>
7		
8	Thomas’ Plain Bagel Thins	<ul style="list-style-type: none"> <li>• American Heart Association (“AHA”) Heart Check Mark removed</li> </ul>
9		
10	Thomas’ 100% Whole Wheat Bagel Thins	<ul style="list-style-type: none"> <li>• AHA Heart Check Mark removed</li> <li>• Soy flour removed from ingredients list</li> <li>• Product discontinued</li> </ul>
11		
12	Thomas’ Everything Bagel Thins	<ul style="list-style-type: none"> <li>• AHA Heart Check Mark removed</li> </ul>
13		
14	Bimbo Original Toasted Bread	<ul style="list-style-type: none"> <li>• Color removed</li> </ul>
15		
16	Bimbo Double Fiber Toasted Bread	<ul style="list-style-type: none"> <li>• Color removed</li> </ul>
17		
18	Bimbo 100% Whole Wheat Tortillas	<ul style="list-style-type: none"> <li>• Discontinued</li> </ul>
19		
20	Thomas’ Cinnamon Raisin Swirl Toasting Bread	<ul style="list-style-type: none"> <li>• Color removed</li> </ul>
21		
22	Thomas’ 100% Whole Wheat Bagels	<ul style="list-style-type: none"> <li>• Soy flour removed from ingredients list</li> </ul>
23		
24	Thomas’ 100% Whole Wheat Mini Bagels	<ul style="list-style-type: none"> <li>• Soy flour removed from ingredients list</li> <li>• Product discontinued</li> </ul>
25		
26	Sahara 100% Whole Wheat Pita Pockets	<ul style="list-style-type: none"> <li>• Soy flour removed from ingredients list</li> <li>• Product discontinued</li> </ul>
27		
28	Thomas’ 100% Whole Wheat English Muffins	<ul style="list-style-type: none"> <li>• Soy flour removed from ingredients list<sup>2</sup></li> </ul>

In addition to the specific changes listed in the preceding chart and in §4.4 of the Agreement, BBUSA agrees that, for a period of two (2) years from the Settlement Effective Date, and subject to

<sup>2</sup> This chart is set forth in § 4.4 of the Settlement Agreement.

1 a confidentiality order, BBUSA will advise a designated representative of Class Counsel<sup>3</sup> of any  
 2 changes to the products' labels as soon as reasonably practicable (the "Notice") to the extent they  
 3 relate to the products' labeling in California as follows:

- 4 (a) For the "Whole Grain" Products: Any labeling statement that a product is a "good source  
 5 of whole grain" or an "excellent source of whole grain";  
 6 (b) For the "100% Whole Wheat" Products: Any change to the product formulation to  
 7 include "soy flour" as an ingredient;  
 8 (c) For the "Added Coloring" Products: Any change to the product formulation to include  
 9 "coloring" as an ingredient.

10 *See Agr.* at §4.7. Class Counsel shall then have fifteen (15) days from the date of Notice to inform  
 11 BBUSA of any objection to that labeling change. *Id.* at §4.7. The parties will amicably and in good  
 12 faith attempt to resolve all disputes over labeling changes on an informal basis; that is, without  
 13 litigation or Court intervention. *Id.*

14 **C. Class Representatives' Application for Incentive Award**

15 The Settlement contemplates that Class Counsel will request an Incentive Award to be paid  
 16 out of the Settlement Payment to Plaintiff Alex Ang and Plaintiff Lynn Streit for their efforts in  
 17 litigating this Action, regularly communicating with Class Counsel, producing documents in discovery,  
 18 sitting for depositions, and cooperating with Class Counsel to oppose BBUSA's motion for  
 19 terminating sanctions based on spoliation of evidence. BBUSA does not oppose the requested  
 20 incentive award payment to Plaintiffs. Class Counsel hereby requests the Court approve payment in  
 21 the amount of \$10,000 to each Plaintiff, payable solely from the Settlement Payment, and to be paid  
 22 at the same time as the attorneys' fees and costs payments are made to Class Counsel. While a \$5,000  
 23 incentive award is generally considered "presumptively reasonable"<sup>4</sup>, courts within California and the  
 24

25 <sup>3</sup> The Agreement provides that such designated representatives will be Ben F. Pierce Gore of Pratt &  
 26 Associates, and Keith M. Fleischman and Joshua D. Glatter of Fleischman Bonner & Rocco LLP.  
 27 *See Agr.* at § 11.11.

28 <sup>4</sup> *Austin v. Foodliner, Inc.*, 2019 U.S. Dist. LEXIS 79638, \*20 (N.D. Cal. May 10, 2019) (collecting cases).

1 Ninth Circuit have approved similar awards the size of that requested by Plaintiffs.<sup>5</sup> Given the service  
2 that Plaintiffs have provided to the Class, the requested Incentive Awards should be approved.

### 3 **D. Attorneys' Fees and Litigation Costs**

4 "In a certified class action, the court may award reasonable attorney's fees and nontaxable  
5 costs that are authorized by law or by the parties' agreement." Fed. R. Civ. P. 23(h). As described  
6 above, and to the extent approved by the Court, BBUSA has agreed to pay the total sum of \$325,000  
7 as consideration for the Settlement. After compensating the class representatives with an Incentive  
8 Award, the remainder will be used as payment for attorneys' fees and the costs of litigation. The  
9 parties and their counsel will bear all other fees and costs incurred in relation to the litigation or the  
10 drafting, approval, and implementation of the Settlement and the Agreement. BBUSA has agreed not  
11 to oppose any motion for attorneys' fees and costs that do not exceed the \$325,000 Settlement  
12 Payment. Class Counsel are seeking an award of attorneys' fees substantially less than Class Counsel's  
13 lodestar, which, as of December 12, 2019, was around \$987,531.73—covering 2,178 hours of work—  
14 and an additional \$86,456.65 in expenses. The Settlement Payment amount is fair and reasonable and  
15 should be preliminarily approved. *See Grant v. Capital Mgmt. Servs., L.P.*, 2013 U.S. Dist. LEXIS 174190,  
16 \*3 (S.D. Cal. Dec. 11, 2013) (granting preliminary approval in an injunctive relief only class action  
17 settlement where class counsel requested \$475,000 in attorneys' fees and costs); *Lilly v. Jamba Juice Co.*,  
18 2015 U.S. Dist. LEXIS 58451, \*14-16 (N.D. Cal. May 1, 2015) (same, where requested amount was  
19 \$425,000); *Sciortino v. PepsiCo, Inc.*, 2016 U.S. Dist. LEXIS 83937, \*21-22 (N.D. Cal. Jun. 28, 2016)  
20 (same, where requested amount was \$500,000 and was later approved in a separate order).

### 21 **E. Notice to the Settlement Class Members**

22 "When a class is certified under Rule 23(b)(2) and only provides for injunctive relief, no notice  
23 is required." *Grant*, 2013 U.S. Dist. LEXIS 174190, at \*15 (citing to *Kim v. Space Pencil, Inc.*, 2012 U.S.  
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25 <sup>5</sup> *See Id.*, at \*20-21; *Black v. T-Mobile USA, Inc.*, 2019 U.S. Dist. LEXIS 123676, \*22 (N.D. Cal. Jul. 24,  
26 2019) (approving \$10,000 incentive award); *McDonald v. CP OpCo, LLC*, 2019 U.S. Dist. LEXIS  
27 80501, \*21-23 (N.D. Cal. May 13, 2019) (same); *Villegas v. J.P. Morgan Chase & Co.*, 2012 U.S. Dist.  
28 LEXIS 166704, \*19-20 (N.D. Cal. Nov. 20, 2012) (same); *Gil v. Sf Peninsula Gme*, 2014 Cal. Super.  
LEXIS 9178, \*5 (Sup. Ct., San Mateo Cnty. Jan. 27, 2014) (approving \$10,000 incentive award in  
settlement for injunctive relief only).

1 Dist. LEXIS 169922, \*4 (N.D. Cal. Nov. 28, 2012)); *Kline v. Dymatize Enters., LLC*, 2016 U.S. Dist.  
2 LEXIS 142774, \*17 (S.D. Cal. Oct. 13, 2016) (same). Because the Class Certification Order only  
3 certified a class for injunctive relief pursuant to Rule 23(b)(2) and does not certify a class with respect  
4 to monetary remedies or other equitable relief by any Class Member, the parties agree that notice and  
5 opt-out rights do not apply and are therefore not necessary. *See* Fed. R. Civ. P. 23(c)(2)(A). The  
6 parties further agree that notice in this case would be cost prohibitive. Moreover, given that the  
7 challenged labels have already been removed or altered and/or the challenged products reformulated,  
8 burdening the parties with publishing or transmitting notice would be a somewhat moot act and, so,  
9 the burden of having to do so would far outweigh any benefit. If the Court determines that any type  
10 of notice to the Class Members is necessary, each party shall have the unilateral option to withdraw  
11 from the Settlement and the Agreement, without prejudice. The parties have agreed to meet and  
12 confer in good faith to discuss the matter in the unlikely event that the Court requires publishing  
13 notice of any type.

#### 14 **F. Scope of Release**

15 Under the terms of the Agreement, the parties release each other from any and all causes of  
16 action or claims in any form that have been brought or could have been brought that arise out of or  
17 in any way relate to the Claims. The full release language is set forth in §8 of the Settlement Agreement.

#### 18 **ARGUMENT**

19 As a matter of public policy, settlement is a strongly favored method for resolving disputes.  
20 *See Utility Reform Project v. Bonneville Power Admin.*, 869 F.2d 437, 443 (9th Cir. 1989). This is particularly  
21 true with class actions, where, as here, “substantial resources can be conserved by avoiding the time,  
22 cost, and rigors of formal litigation.” *Fontes v. Heritage Operating, L.P.*, 2016 U.S. Dist. LEXIS 50502,  
23 \*7 (S.D. Cal. Apr. 14, 2016) (citation omitted); *see also In re Synoc ERISA Litig.*, 516 F.3d 1095, 1101  
24 (9th Cir. 2008) (Public policy “strong[ly] ... favors settlements, particularly where complex class action  
25 litigation is concerned.”); *Franklin v. Kaypro Corp.*, 884 F.2d 1222, 1229 (9th Cir. 1989) (“[O]verriding  
26 public interest in settling and quieting litigation” is “particularly true in class action suits.” (internal  
27 quotations omitted)).  
28

1 Rule 23(e) requires Court approval before the claims, issues, or defenses of a certified class  
2 can be settled, voluntarily dismissed, or compromised. Fed. R. Civ. P. 23(e). The purpose of this rule  
3 “is to protect the unnamed members of the class from unjust or unfair settlements affecting their  
4 rights.” *In re Synoc.*, 516 F.3d at 1100. Accordingly, if a settlement is “fundamentally fair, adequate,  
5 and reasonable,” it must be approved. *In re Heritage Bond Litig.*, 546 F.3d 667, 674-675 (9th Cir. 2008);  
6 *see also In re Wireless Facilities, Inc. Secs. Litig. II*, 253 F.R.D. 607, 610 (S.D. Cal. 2008) (“Settlements that  
7 follow sufficient discovery and genuine arms-length negotiation are presumed fair.”).

### 8 **I. The Settlement is Fair, Reasonable, and Adequate**

9 As indicated above, Rule 23(e) requires judicial approval of a proposed class action settlement  
10 based on a finding that the agreement is “fair, reasonable, and adequate.” *Lane v. Facebook, Inc.*, 696  
11 F.3d 811, 818 (9th Cir. 2012). The proposed settlement “need not be ideal, but it must be fair and  
12 free of collusion, consistent with a plaintiff’s fiduciary obligations to the class.” *Lilly v. Jamba Juice Co.*,  
13 2015 U.S. Dist. LEXIS 34498, \*18-19 (N.D. Cal. Mar. 18, 2015). Courts within this District have  
14 granted preliminary approval where the proposed settlement: “(1) appears to be the product of  
15 serious, informed, non-collusive negotiations; (2) does not grant improper preferential treatment to  
16 class representatives or other segments of the class; (3) falls within the range of possible approval; and  
17 (4) has no obvious deficiencies.” *McDonald v. CP OpCo, LLC*, 2019 U.S. Dist. LEXIS 13376, \*16  
18 (N.D. Cal. Jan. 28, 2019) (citing *In re Tableware Antitrust Litig.*, 484 F. Supp. 2d 1078, 1079 (N.D. Cal.  
19 2007)).

#### 20 **A. The Settlement Agreement Resulted From Serious, Informed, Non-Collusive, 21 Arms-Length Negotiation**

22 When settlement agreements are reached after hard-fought litigation and arms-length  
23 negotiations among experienced counsel they are “entitled to an initial presumption of fairness.” *In*  
24 *re High-Tech Employee Antitrust Litig.*, 2013 U.S. Dist. LEXIS 180530, \*6-7 (N.D. Cal. Oct. 30, 2013)  
25 (internal quotations and citations omitted). As previously discussed, this Action consisted of a lengthy  
26 litigation period involving the depositions of both Plaintiffs, production and review of thousands of  
27 documents, exchange of expert reports, deposing BBUSA’s Rule 30(b)(6) designee, and extensive  
28

1 briefing on motions seeking dismissal, spoliation sanctions, and class certification. The parties have  
2 therefore had a genuine opportunity to consider various rulings of the Court, take meaningful  
3 discovery and gauge the feasibility and benefits of settlement versus continued litigation. *See In re*  
4 *Wireless Facilities*, 253 F.R.D. at 610 (settlements that follow sufficient discovery and genuine arms-  
5 length negotiation are presumed fair); *White v. Experian Info. Sols., Inc.*, 2009 WL 10670553, \*13 (C.D.  
6 Cal. May 7, 2009) (same). Furthermore, the Settlement was reached only after a full day of hard-  
7 fought mediation before Judge Pro. *Kline*, 2016 U.S. Dist. LEXIS 142774, \*5 (“That the settlement  
8 was reached with the assistance of an experienced mediator further suggest that the settlement is fair  
9 and reasonable”) (citation omitted).

10 As a result of the parties’ efforts, the Court’s rulings and the mediator’s input, the claims have  
11 been substantially investigated and/or are substantially understood so that the parties and their counsel  
12 had a reasonable opportunity to candidly assess the merits and weaknesses of the claims and the  
13 defenses thereto.<sup>6</sup> Combined with the mediation conducted by Judge Pro, ample evidence  
14 demonstrates that the Settlement reached by the parties was a result of serious, informed, non-  
15 collusive, and arms-length negotiation, and thus requires granting preliminary approval.

16 **B. The Settlement Agreement Does Not Provide Preferential Treatment**

17 As the Court denied class certification of Plaintiffs’ damages claims, the Agreement provides  
18 for no monetary distribution. Thus, there is no preferential treatment given to any Class Member, nor  
19 to the Class Representatives. Instead, all Class Members benefit from the labeling and/or formulation  
20 changes provided for by the Agreement. *See Hart v. Colvin*, 2016 U.S. Dist. LEXIS 155799, \*27 (N.D.  
21 Cal. Nov. 9, 2016) (“When ... the settlement provides for only injunctive relief ... there is no potential  
22 for the named plaintiffs to benefit at the expense of the rest of the class”) (quotation and citation  
23 omitted).

24 While this motion does seek to authorize \$10,000 incentive awards to Plaintiffs Ang and Streit  
25 for their role as Class Representatives in the Action, as discussed above, the “Ninth Circuit has  
26

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27 <sup>6</sup> A full list of considerations taken into account by the parties in reaching the Settlement is provided  
28 at § 3.1 of the Agreement.

1 recognized that service awards to named plaintiffs in a class action are permissible and do not render  
2 a settlement unfair or unreasonable.” *Harris v. Vector Mktg. Corp.*, 2011 U.S. Dist. LEXIS 48878, \*28  
3 (N.D. Cal. Apr. 29, 2011) (citing *Stanton v. Boeing Co.*, 327 F.3d 938, 977 (9th Cir. 2003)). While a  
4 monetary incentive award for the Plaintiffs may be “more valuable than the ... injunctive relief  
5 received by the unnamed class members,” “[i]ncentive payments to class representatives do not, by  
6 themselves, create an impermissible conflict between class members and their representatives.”  
7 *Campbell v. Facebook, Inc.*, 2017 U.S. Dist. LEXIS 132624, \*12 (N.D. Cal. Aug. 18, 2017) (quoting *In re*  
8 *Online DVD-Rental Antitrust Litig.*, 779 F.3d 934, 943 (9th Cir. 2015)). As discussed above, Plaintiffs  
9 Ang and Streit sat for deposition, cooperated with Class Counsel in responding to a motion for alleged  
10 spoliation sanctions, were in constant contact with Class Counsel, and secured injunctive relief for the  
11 Class; their incentive award, if approved, would be indicative of the efforts they undertook to achieve  
12 relief for the Class.<sup>7</sup> Thus, the absence of any preferential treatment supports granting preliminary  
13 approval of the Agreement.

#### 14 C.

#### **The Settlement Falls Within the Range of Possible Approval**

15 “To determine whether a settlement ‘falls within the range of possible approval,’ a court must  
16 focus on ‘substantive fairness and adequacy,’ and ‘consider plaintiffs’ expected recovery balanced  
17 against the value of the settlement offer.” *Villegas*, 2012 U.S. Dist. LEXIS 166704, \*20 (citing *In re*  
18 *Tableware*, 484 F. Supp. 2d at 1080). Here, BBUSA has altered, changed, or otherwise modified either  
19 the labeling of the products at issue or the formulations of those products. Further, BBUSA has  
20 agreed—for a period of two (2) years—to notify Class Counsel of any changes to the label statements  
21 or formulations of the products at issue, to the extent they relate to those claims referenced in the  
22 SAC and listed at § 4.7 of the Agreement, and provide them with an opportunity to object to those  
23 changes. In similar circumstances, courts have found such settlements worthy of preliminary  
24 approval. *See In re Ferrero Litig.*, 2012 U.S. Dist. LEXIS 94900, \*12 (S. D. Cal. July 9, 2012) (“Defendant  
25

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26 <sup>7</sup> *See Rivera v. Agreserves, Inc.*, 2016 U.S. Dist. LEXIS 131705, \*21 (E.D. Cal. Sept. 26, 2016) (affirming  
27 \$7,500 award where plaintiff “spent a substantial amount of time assisting counsel and participating  
28 in the litigation” including sitting for deposition and being present at mediation); *Bruno v. Quten  
Research Inst., LLC*, 2013 U.S. Dist. LEXIS 35066, \*8 (C.D. Cal. Mar. 13, 2013) (affirming \$8,000  
award where plaintiff “submitted to depositions, investigations, and an involved litigation schedule”).

1 agreed to modify the product label to address the fundamental claim raised in Plaintiffs' complaint ...  
 2 The Court concludes that the proposed settlement provides an appropriate remedy to class members.  
 3 It both takes into account the strength of Defendant's defenses and obstacles to class-wide recovery,  
 4 while also addressing the concerns in Plaintiff's complaint"), *aff'd* 583 Fed. Appx. 665 (9th Cir. 2014).<sup>8</sup>

5 The agreed-upon relief negotiated with Judge Pro's assistance in this case was the product of  
 6 arms-length negotiations, conducted after considerable litigation and discovery, resulting in significant  
 7 injunctive relief that reflects tangible value to the Class Members. When comparing this relief to  
 8 Plaintiffs' expected recovery—taking into account that no Rule 23(b)(3) class was certified and that  
 9 BBUSA intended to bring a motion for summary judgment on the merits of the Plaintiffs' claims—  
 10 the Agreement clearly provides substantial value to the Class. The above showing is enough to  
 11 determine that the Settlement falls within the range of possible approval.<sup>9</sup>

#### 12 **D. The Agreement Has No Deficiencies**

13 A court will likely find a settlement agreement free from obvious deficiencies when it provides  
 14 immediate injunctive relief while at the same time mitigates the potential uncertainties in continuing  
 15 litigation.<sup>10</sup> Here, while the Court denied certification of Plaintiffs' proposed Rule 23(b)(3) class, it  
 16 certified a Rule 23(b)(2) Class, and the injunctive relief provided for in the Agreement is significant.  
 17 Under the terms of the Settlement, the products alleged in the SAC to be mislabeled have either been  
 18

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19 <sup>8</sup> See also *Carr v. Tadin, Inc.*, 2014 U.S. Dist. LEXIS 179835, \*15-16 (S.D. Cal. Apt. 18, 2014) (granting  
 20 preliminary approval of settlement with no monetary relief, but where "the injunctive relief offered  
 21 will provide the Settlement Class with the relief they most desire—a change in product labeling.");  
 22 *Johnson v. Triple Leaf Tea, Inc.*, 2015 U.S. Dist. LEXIS 170800, \*12-13 (N.D. Cal. Nov. 16, 2015)  
 (granting final approval, noting "[t]he Settlement affords meaningful injunctive relief" where "the  
 labeling of the Products shall be substantially revised").

23 <sup>9</sup> See *Dennis v. Kellogg Co.*, 2013 U.S. Dist. LEXIS 64577, \*13 (S. D. Cal. May 3, 2013) ("[P]roposed  
 24 settlement appears to fall within the range of possible approval" where "it appears to be the product  
 25 of arms-length negotiations by experienced counsel, was reached after considerable litigation and  
 discovery into the asserted claims, and provides ... relief").

26 <sup>10</sup> See *Stathakos v. Columbia Sportswear Co.*, 2018 U.S. Dist. LEXIS 17138, \*13-14 (N.D. Cal. Jan. 25,  
 27 2018) (approving settlement where "continued litigation could not result in any greater injunctive  
 28 relief to the class and would only deprive the class of immediate relief"); *In re Tablenare*, 484 F. Supp.  
 2d at 1080 ("Based on th[e] risk and the anticipated expense and complexity of further litigation, the  
 court cannot say that the proposed settlement is obviously deficient").

1 reformulated or their labels have been changed, providing an immediate benefit to the entire Class.  
2 The Agreement also provides for mechanisms and procedures to address any future label changes,  
3 including providing notice to Class Counsel and an objection process. Moreover, the Agreement has  
4 no “obvious substantive defects such as ... overly broad releases of liability.” *Newberg on Class Actions*  
5 § 13:15 (5th ed. 2014). Consequently, the absence of any deficiencies supports approving the  
6 Settlement.

## 7 **II. The Risk, Expense, Complexity, and Duration of Further Litigation**

8 The parties agree that the likely expense, complexity, duration, and risk involved in further  
9 litigation strongly favor resolution through the Agreement, resulting in prompt injunctive relief and  
10 an all-inclusive payment. *See Kline*, 2016 U.S. Dist. LEXIS 142774, \*13-14 (“[W]hile confident in the  
11 merits of their case, Plaintiffs are cognizant of the inherent risks of lengthy litigation ... The proposed  
12 settlement adequately accounts for these risks”); *Vasquez v. Coast Valley Roofing, Inc.*, 266 F.R.D. 482,  
13 489 (E.D. Cal. 2010) (in weighing the risk of future litigation, “a court may consider the vagaries of  
14 litigation and compare the significance of immediate recovery by way of the compromise to the mere  
15 possibility of relief in the future, after protracted and expensive litigation”) (internal quotation marks  
16 omitted).

17 As discussed above, Plaintiffs alleged in the SAC that BBUSA violated the Food, Drug and  
18 Cosmetic Act, Food and Drug Administration regulations, and California’s Sherman Law by  
19 intentionally labeling certain of its products with misrepresentations to increase sales by implying that  
20 its products are healthier or of better quality than competing products. BBUSA has denied these  
21 allegations, arguing that Plaintiffs cannot show the product labels in question were misleading or  
22 violated any laws or regulations, and that Plaintiffs lack standing to pursue injunctive relief claims as  
23 they failed to produce evidence that they purchased products containing the labeling statements and  
24 therefore have not suffered any injury causally connected to the alleged mislabeling of products. The  
25 parties’ experts have prepared reports on the purchasing decisions of consumers and what affect—if  
26 any—this labeling would have, and plan to offer similar testimony should the litigation continue.

27 The attendant costs associated with paying dueling experts, continuing motion practice and  
28

1 discovery, and proceeding through litigation are not preferable to the prompt injunctive relief and all-  
 2 inclusive payment the Settlement provides. The failure to reach settlement—in addition to the cost  
 3 associated with further litigation—would raise considerable risks associated with the parties’ positions,  
 4 including the risk that the Rule 23(b)(2) class would be de-certified, that a motion for summary  
 5 judgment on the merits would be granted, that the Court or a jury would disagree on materiality or  
 6 that, after resolution, the Action would be appealed.<sup>11</sup> Because of these factors and the parties’ joint  
 7 desire to resolve this matter by the terms outlined in the Agreement, the Court should preliminarily  
 8 approve the Settlement.

### 9 III. Counsel’s Experienced Judgment and Views

10 “The recommendations of plaintiff[s] counsel should be given a presumption of  
 11 reasonableness” when contemplating the preliminary approval of a proposed settlement. *Knight v. Red*  
 12 *Door Salons, Inc.*, 2009 U.S. Dist. LEXIS 11149, \*11 (N.D. Cal. Feb. 2, 2009) (citation omitted). Here,  
 13 Class Counsel has substantial experience in class action litigation and, in particular, with class action  
 14 litigation specific to California’s consumer protection statutes and false labeling laws. The opinion of  
 15 experienced counsel supporting the settlement is entitled to considerable weight.<sup>12</sup> With regard to the  
 16 terms and conditions of the Agreement, Class Counsel’s experienced judgment and views, which  
 17 guided their decision in drafting and negotiating the relief contained within, and which were informed  
 18 by the guidance and perspective of mediator Judge Pro, should be taken into account in the Court’s  
 19 consideration of this joint motion.

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21  
 22 <sup>11</sup> See *Onla Zakaria v. Gerber Prods. Co.*, 2017 U.S. Dist. LEXIS 221124, \*62 (C.D. Cal. Aug. 9, 2017)  
 23 (decertifying class after finding plaintiff failed to provide an adequate basis to calculate restitution and  
 24 actual damages), *aff’d* 2018 U.S. App. LEXIS 32240 (9th Cir. Nov. 14, 2018); *Weigle v. Fedex Ground*  
 25 *Package Sys.*, 2010 U.S. Dist. LEXIS 33305, \*32 (S.D. Cal. Apr. 5, 2010) (decertifying class after  
 26 concluding predominance was lacking); *Bruton v. Gerber Prods. Co.*, 2017 U.S. App. LEXIS 12833, \*1  
 27 (9th Cir. Jul. 17, 2017) (reversing dismissal of plaintiffs’ unjust enrichment/quasi-contract claim,  
 28 reversing denial of class certification, and, *inter alia*, reversing decision to grant defendant summary  
 judgment on plaintiffs’ claims that the product labels were unlawful under the UCL).

<sup>12</sup> See *Kirkorian v. Borelli*, 695 F. Supp. 446 (N.D. Cal. 1988); *Boyd v. Bechtel Corp.*, 485 F. Supp. 610, 622  
 (N.D. Cal. 1979) (recommendations of plaintiffs’ counsel should be given a presumption of  
 reasonableness); *Carter v. Anderson Merch., LP*, 2010 U.S. Dist. LEXIS 55581, \*8 (C.D. Cal. May 11,  
 2010) (“Counsel’s opinion is accorded considerable weight”).

**CONCLUSION**

The parties agree that the Agreement is in their mutual best interests and will conserve resources and promote judicial efficiency and economy, while at the same time providing meaningful benefits to the Class. Furthermore, each party has taken into account the investigation of the claims, the orders of the Court and the uncertainties, delays, expenses, and exigencies of the litigation process in reaching this conclusion. For the reasons set forth in this joint motion, the parties respectfully request the Court: (1) grant preliminary approval of the Settlement; (2) waive notice requirements; (3) schedule the final approval hearing; and (4) grant such other relief as the Court deems just and proper.

Dated: December 13, 2019

Respectfully submitted,

/s/ Keith M. Fleischman

/s/ Mark C. Goodman

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**U.S. District Court**

**California Northern District**

**Notice of Electronic Filing**

The following transaction was entered by Gore, Ben on 12/13/2019 at 12:57 PM PST and filed on 12/13/2019

**Case Name:** Ang et al v. Bimbo Bakeries USA, Inc.

**Case Number:** [4:13-cv-01196-HSG](#)

**Filer:** Alex Ang  
Lynn Streit

**Document Number:** [217](#)

**Docket Text:**

**MOTION for Settlement *JOINT MOTION FOR PRELIMINARY APPROVAL OF PROPOSED CLASS ACTION SETTLEMENT* filed by Alex Ang, Lynn Streit. Motion Hearing set for 2/6/2020 02:00 PM in Oakland, Courtroom 2, 4th Floor before Judge Haywood S Gilliam Jr.. Responses due by 12/27/2019. Replies due by 1/3/2020. (Attachments: # (1) Declaration of Keith M. Fleischman, # (2) Exhibit 1 to Fleischman Declaration, # (3) Exhibit 2 to Fleischman Declaration, # (4) Proposed Order Granting Preliminary Approval of Proposed Class Action Settlement)(Gore, Ben) (Filed on 12/13/2019)**

**4:13-cv-01196-HSG Notice has been electronically mailed to:**

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The following document(s) are associated with this transaction:

**Document description:**Main Document

**Original filename:**C:\fakepath\2019-12-11 Joint Motion for Preliminary Approval of Proposed Settlement.pdf

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[STAMP CANDStamp\_ID=977336130 [Date=12/13/2019] [FileNumber=16512537-0]  
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**Document description:**Declaration of Keith M. Fleischman

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[STAMP CANDStamp\_ID=977336130 [Date=12/13/2019] [FileNumber=16512537-1]  
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**Document description:**Exhibit 1 to Fleischman Declaration

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